

Tetrahedron report number 468

Synthesis of Seven-Membered Oxacycles

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I. Introduction

Interest in the synthesis of seven-membered oxacycles has steadily increased in recent years because of their occurrence in natural products, use in polymers, and pharmacological applications. Examples of their occurrence in nature range from the monocyclic zoapatanol, isolaurepinnacin, and rogiolenyne to the highly complex ciguatoxin (Scheme 1). In view of the interest and challenges these molecules present as potential synthetic targets, the number of methods available for the construction of seven-membered oxacycles has steadily increased. The purpose of this review will be to highlight some of the approaches taken in the last four years in assembling new routes to oxepanes and oxepenes. The application of these methods to natural product synthesis will also be presented.

In this review the syntheses of oxepanes and oxepenes are classified into five general strategies (Scheme 2). The first and second strategies involve cyclization of acyclic precursors through either a carbon-carbon (C-C) bond formation or a carbon-oxygen (C-O) bond formation. Both have received considerable attention during the past few years. In the second strategy, C-O bonds are formed via intramolecular attack of alcohols on epoxides, carbonyls and acetals. This has been the more developed route and offers highly efficient methods for oxepane and oxepene formation. The third section discusses lactone formation by Baeyer-Villiger oxidation and lactonization of hydroxy acids, followed by conversion of the lactone into oxepenes. This approach has also been frequently described in the literature. This section is arranged

Ciguatoxin

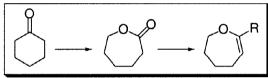
Scheme 1

beginning with formation of the lactone followed by conversion into substituted oxepenes. The fourth section involves the ring-expansion of three-, four-, five- and six-membered rings. Finally, the fifth section details the use of transition metal cyclizations including olefin metathesis, diazo cyclizations, and palladium π -allyls. These last two sections provide new and unique solutions to oxepane synthesis. Although the fifth section involves the cyclization of C-C and C-O bonds, it has been split into a separate section because of its recent attention and novelty.

I. Cyclization through C-C Bond Formation

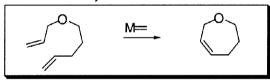
II. Cyclization through C-O Bond Formation

III. Lactone Formation and Functionalization



IV. Ring-Expansion

V. Metal-Catalyzed Annulations



Scheme 2

This review will focus on the literature published from 1994 through mid 1998. For reviews on earlier work that highlight only oxepane derivatives, the reader is referred to Boyd in (1984)⁸ and Belen'kll in (1982 through 1993).⁹ For reviews on the synthesis of medium-sized rings see Hassenruck and Martin (1988),¹⁰ Moody and Davies (1992),¹¹ Elliott (1994),¹² Burns (1994),¹³ and Martin et al.¹⁴ Nicolaou has also recently published a review on the synthesis of brevetoxin B, which highlights methods discovered by his group in the synthesis of this oxepane-containing marine toxin.¹⁵ Finally, included in the Belen'kll review are theoretical and experimental structural methods, thermodynamic aspects and synthesis, and reactions of fully conjugated and nonconjugated oxepines. Additionally, Espinosa and co-workers have recently published work on conformational analysis of oxepanes.¹⁶

II. Cyclization Through C-C Bond Formation

Several widely used methods exist for the formation of oxepanes and oxepenes through carbon-carbon bond formation. This section outlines the latest developments and applications of these methods. Several of the following methods were developed earlier this decade and have since been modified and applied to the synthesis of natural products.

II.1 Intramolecular attack of alkoxyallylstannanes on aldehydes

A general method for preparing cyclic ethers that has been applied to the synthesis of oxepanes was developed by Yamamoto and co-workers and subsequently applied to the synthesis of hemibrevetoxin B (Scheme 3).¹⁷ The method, which involves an intramolecular attack of an alkoxyallylstannane on an aldehyde, generates oxepanes in excellent yield and selectivity. In the synthesis of hemibrevetoxin B, 1 was converted to 2 in 94% yield and produced only 1 diastereoisomer. The allylstannane and aldehyde groups in 3 are reformed in several steps, thus enabling iterative cyclizations. Subsequent conversion of 3 to 4 also produced only 1 diastereoisomer in 98% yield.

In studies directed toward the synthesis of ciguatoxin, Martin's group introduced a modification of this strategy (Scheme 4).¹⁸ Cyclization of **5** to **6** was accomplished by an initial *vic*-diol fragmentation using n-Bu₄NIO₄. *In situ* cyclization of the resulting aldehyde with BF₃•OEt₂ gave **6** in 95% overall yield.

Other uses of Yamamoto's method in synthetic studies of ciguatoxin have also been reported (Scheme 5). Hirama and co-workers used an intramolecular attack in the synthesis of the AB ring.¹⁹ Additionally, the G ring was synthesized by Sasaki and Tachibana co-workers.²⁰ Both studies reported excellent yields in the cyclization. These high yields are believed to be due to the presence of the tetrahydropyran ring, which is proposed to increase the conformational rigidity of the precursor. Cyclizations without the affixed rings generally result in lower yields.

Bu₃Sn
$$\stackrel{\bigcirc}{R}$$
 $\stackrel{\bigcirc}{R}$ $\stackrel{\stackrel}{R}$ $\stackrel{\stackrel}{R}$ $\stackrel{\stackrel}{R}$ $\stackrel{\stackrel}{R}$ $\stackrel{\stackrel}{R}$ $\stackrel{\stackrel}{R}$ $\stackrel{\stackrel}{R}$ $\stackrel{\stackrel}{R$

A modification of this reaction was recently developed by Yamamoto's group and involves the use of allenylstannanes (Scheme 6).²¹ Treatment of **9** with BF₃•OEt₂ produces **10** in 51% yield as a single isomer.

Lewis acid initiated intermolecular attack has also been developed as a general method of oxepane synthesis. Recently, Aubert and Pornet reported on the formation of vinylidene oxepanes using bis-silanes, leading to the formation of the bis(vinylidene) oxepanes 10 (Scheme 7).²² The yields of the reaction depend on the size of the R group. For example, an ethyl group gave an 85% yield, and a *tert*-butyl group resulted in only 41% yield. The proposed mechanism involves an initial attack of the propargyl silane group on the aldehyde BF₃ complex to produce intermediate 9a, which undergoes insertion of a second aldehyde resulting in formation of the mixed acetal 9b. Subsequent intramolecular attack of the mixed acetal results in formation of 10.

II.2 Intramolecular attack on acetals

Intramolecular attack on acetals has also received considerable attention and has been applied to the synthesis of several natural products. Overman and co-workers investigated a Prins cyclization of mixed acetals, which led to the synthesis of (+)-isolaurepinnacin (Scheme 8).²³ Treatment of 11 with BCl₃ selectively cleaves the methoxy moiety to generate an α -chloro ether. Continued warming to room temperature generates the oxonium ion intermediate, which undergoes cyclization and olefin formation. A single isomer was obtained in the cyclization due to the formation of the more stable *E*-oxonium ion.²⁴ Also key to the success of this reaction was the use of the TIPS protecting group, as benzoyl or TBDMS groups were cleaved during the reaction.

Intramolecular allylstannane-acetal cyclizations using chiral acetals have also been investigated by Yamamoto and co-workers (Scheme 9).²⁵ Although a moderate yield of **14** is achieved, a 91:9 ratio of (2S, 3S) was obtained without any cis isomers being produced.

Martin's group recently reported an elegant two-directional synthesis of oxepanes for application to the synthesis of marine toxins (Scheme 10).²⁶ Cyclization of 15 with TiCl₃(OⁱPr) to produce 16 was successful, but, in only 15% yield. Although this specific cyclization resulted in a low yield, the strategy might offer a highly efficient route to oxepane-containing marine toxins.

II.3 Radical, cycloaddition and ring-contraction Carbon-carbon bond-forming reactions

Carbon-carbon bond-forming cyclizations complementing the use of aldehydes and acetals have also been developed as a general method for cyclic ether formation. These include radical cyclizations, nitrile oxide cycloaddition and the use of an oxathiane cyclization/sulfur dioxide extrusion reaction. Several of these have been developed as new methods while others were developed previously and applied to natural product synthesis.

Evans and co-workers introduced a new method that uses an intramolecular acyl radical cyclization of acyl selenides (Scheme 11).²⁷ Yields for 17 ranged from 80 to 90% (R = Me, i-Pr, Ph) and diastereoselectivities of >19:1 were achieved for all three oxepanones, with the cis configuration being preferred. The high selectivities were expected based on the Beckwith transition state model, in which a transition state with a chair like conformation, pseudo equatorial R group and an s-trans configuration of the vinyl ether occurs.²⁸ This transition state alleviates any 1,3-allylic strain. Additionally, the acyl selenide of 17 is easily generated; thus, additional cyclizations via the acyl radical can be accomplished. This iterative strategy allows for a general method for constructing fused polycyclic ethers.

$$\begin{array}{c|c} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & \\ & & \\$$

Scheme 11

A similar radical cyclization involving alkoxyacrylates has also been accomplished by the Shibuya group (Scheme 12).²⁹ Treatment of 18 with Et₃B as the radical initiator in the absence of a Lewis acid gave only a 43% yield of oxepane 19. However, the Lewis acid Et₂AlCl, which lowered the LUMO energy, gave a 61% yield and 3.6:1 diastereoselectivity. The selectivity favored the cis isomer, which can be rationalized by preference of transition state 18a over 18b.

Radical group-transfer cyclizations have also been used in oxepane formation with limited success (Scheme 13). During studies directed at the formation of tetrahydropyran rings, Hiemstra, Speckamp and coworkers found that cyclization of **20** using DTBP as the initiator results in equal amounts of the oxepane **21** and pyran **22** with a total yield of 84%.³⁰ Similar results have been obtained using Bu₃SnH as the initiator.³¹

SCSOEt

$$(t-BuO)_2$$
 CO_2Me
 CO_2Me

During studies of the synthesis of artemisinin derivatives, Posner's group used a photo-oxygenative cyclization of the diene 23 to produce the endoperoxide 24 (Scheme 14).³² Subsequent opening of the peroxide moiety of 24 was envisioned to produce highly substituted oxepanes; however, the low yield of the cyclization prohibited further chemistry. However subsequently, both the Posner and d'Angelo groups have reported yields for the peroxide ranging from 10% to 42%.³³

R =
$$p$$
-MeOPh

23

$$\begin{array}{c}
hv, {}^{1}O_{2} \\
DCA \\
2.5\%
\end{array}$$

$$\begin{bmatrix}
R \\
+ \\
R
\end{bmatrix}$$
R = p -MeOPh

Scheme 14

Intramolecular nitrile oxide cyclizations are also effective in oxepane synthesis. Conversion of 25 to 26 via a 1,3-dipolar cyclization occurs in yields from 61 to 96% (Scheme 15).³⁴ Several variations of this method have been developed. For example, treatment of 25 (R = H) with BnNHOH provides the intermediate oxime, which undergoes cyclization to produce 26. During studies of this transformation, Shing and co-workers discovered that steric congestion was detrimental to the formation of oxepane rings. For instance, cyclization where R = Me produced only tetrahydropyrans. This situation can be resolved by extending the aldehyde unit by one methylene group.

An alternative approach is to use 3-O-allyl-D-glucose 27. Treatment with N-methylhydroxylamine in boiling ethanol followed by acetylation provides the oxepane 28 in an overall 53% yield.³⁵ These methodologies have been applied to the synthesis of Zoapatanol derivatives. The retrosynthesis involved the conversion of D-glucose to 25a, conversion to the oxepane using the nitrile oxide cyclization, and subsequent functionalization. This strategy has the advantage of using the optically active carbohydrate precursor.

Scheme 15

As part of their program for the construction of fused polycyclic ethers, Martin and co-workers recently disclosed a new strategy for the synthesis of oxepenes of type 31 (Scheme 16).³⁶ The approach involves thioannulation of 29 in 87% yield followed by a Ramberg-Backlund olefination of the thioether 30 in 41% yield. The precursor 29 is readily available in a minimum of steps from the diol of the pyran.

Oxepanes have also been prepared by Palenzuela and co-workers via nucleophilic displacement of iodides of type 32 (Scheme 17).³⁷ Although cyclization produced a single isomer, the yield was only 21%. Use of a tosylate as the leaving group did not produce any cyclization.

III. Cyclization Through C-O Bond Formation

III.1 Intramolecular cyclization of hydroxy epoxides and epoxy ketones

Cyclization of hydroxy epoxides, as discussed earlier, has generated a great deal of interest and has been utilized in several natural product syntheses. Conceptually, the transformation has many benefits because the epoxide can be formed enantiospecifically from an olefin and the resulting ring opening provides a new chiral hydroxy unit for further cyclizations. In practice, however, the cyclization suffers from competing pyran formation according to Baldwin's rules resulting in mixtures of products.³⁸ Recent methods to direct cyclization toward oxepane formation involve the use of enzymes and other catalysts.

An exciting new reagent for these cyclizations is a catalytic antibody, which results in complete formation of oxepanes over the preferred pyran (Scheme 18).³⁹ Consistent with normal 6-exo ring closure, epoxide 34 produces the pyran 35 under acid conditions (R = p-MeOBn). However, in the presence of an antibody, 7-endo cyclization occurs, resulting in the formation of oxepane 36 in >98% yield. The antibody preferred the R, R epoxide over its S, S antipode with the absolute configuration of the major oxepane (78%) having the S, R configuration.

The development of lanthanide Lewis acid catalyzed ring closures has also been successful. Murai and co-workers recently disclosed the use of Lewis acids in oxepane synthesis and applied this to the synthesis of the A, B and C-rings of ciguatoxin.⁴⁰ Use of La(OTf)₃ in the cyclization of epoxides 37 produced high selectivities with moderate to good yields (Scheme 19). Yields ranged from 46 to 74%, and selectivities as high as 92:8 were obtained in favor of oxepane 38 over the pyran. Nevertheless, use of this method to the partial synthesis of ciguatoxin met with limited success. A series of Lewis acids were examined for the cyclization of 39; however, only a 38% yield of the oxepene 40 was obtained using Eu(fod)₃.

Often, the desired oxepane can be formed via sterically hindered systems as displayed in Scheme 20.⁴¹ Treatment of **41** with camphorsulfonic acid resulted in formation of **42** with no pyran formation.

An additional consequence of steric effects is the cyclization of the β -diastereomer 43, which produced only the β -oxepane 44. However, cyclization of the α -diastereomer of 43 gave a 1.7:1 mixture of α -44 and 45.⁴²

An interesting dichotomy in terms of the regioselective attack on vinyl epoxides has been reported by Trost's group (Scheme 21).⁴³ Palladium-catalyzed cyclization of 46 in THF produced only oxepane 47, generated by a proximal attack of the hydroxy moiety on the epoxide. The observed selectivity can be rationalized by hydrogen bonding of the hydroxyl group with the departing oxygen of the epoxide. To reverse the regioselectivity, an alcohol solvent was used. Reaction in a 4:1 mixture of isopropanol/THF produced regioisomerically pure 48 as a 3:1 mixture of E/Z isomers.

Cyclization and cleavage of epoxy ketones leading to oxepanes has also been studied (Scheme 22).⁴⁴ Treatment of several derivatives of **49** with TMSCN and BF₃•OEt₂ induces cyclization to the bicyclic acetal, which cleaves to produce mixtures of **50** and **51**. The combined yields of the oxepane and pyran ranged from 63% to 96%; however, ratios of only 2.2:1 of **50:51** were obtained. Additionally, TMSCN was unique among the nucleophiles surveyed in producing an oxepane. Nucleophiles such as Ph₃SiH, allyltrimethylsilane or DIBAL all produced exclusively pyrans. Additional openings of bicyclic acetals in the formation of oxepanes will be presented in Section V.5.

Similar types of bicyclic acetals were formed by oxidizing unsaturated esters to β -keto esters.⁴⁵ The intermediate epoxide is formed by treating 52 with m-CPBA, followed by rearrangement of the crude product with catalytic palladium salt and peroxide. Acetal 53 was formed in 68% yield as a mixture of isomers.

An additional use of epoxides in the formation of oxepanes was recently developed by Murai and coworkers (Scheme 23).⁴⁶ Using the epoxy moiety as the nucleophile, **54** was treated with silver triflate in the presence of dimethylformamide to obtain oxepane **55** in 25% yield. The formation of **55** can be viewed as a reaction proceeding in an endo fashion, producing the kinetic product.

III.2 Intramolecular cyclization of hydroxy carbonyls

Several novel methods using the attack of an oxygen nucleophile on carbonyls have also been developed. As part of their synthesis of Brevetoxin B, Nicolaou and co-workers modified the work of Olah for the synthesis of oxepanes from hydroxy ketones (Scheme 24).⁴⁷ Using one equivalent of TMSOTf, the activation of the carbonyl oxygen in 56 is followed by an intramolecular attack of the hydroxyl moiety producing the trimethylsilyl lactol. Displacement of the TMSO moiety leads to the oxonium ion, which undergoes hydride attack to produce 57. Yields of this process ranged from 50% to 90% and the selectivity varied from 1:1 to 4:1, with the trans stereochemistry predominating. Extensions of this method have also been reported by Moody and co-workers.⁴⁸

A similar cyclization has been developed by Masuyama's group using an aldol reaction of isopropenyl acetate with the intermediate oxonium ion of $58.^{49}$ In the presence of *N*-chlorosuccinimide and tin chloride, hydroxy aldehyde 58 cyclizes to produce a tin alkoxide lactol which leads to an intermediate oxonium ion. Aldol reaction with enol acetate provides the oxepanes 59 in 49% (R = H) and 59% (R = C_5H_{11}) yields. A 95:5 anti:syn-diastereoselectivity was observed for the pentyl derivative.

Related examples of cyclization of masked hydroxycarbonyls, imines, and an oxo-acetal have also been reported. Yus and co-workers have applied functionalized organolithium compounds to the synthesis of oxepanes (Scheme 25).⁵⁰ In this strategy, the reaction of chlorodioxolane **60** with lithium generates a masked alkyllithium that is quenched with aldehydes or ketones to produce intermediate hydroxy aldehydes. Addition of the cyano group to the hydroxy aldehyde produces the corresponding cyanohydrin, which after intramolecular dehydration leads to oxepanes **61**.

1. Li
2. R¹R²CO
3. HCI-H₂O

$$\begin{bmatrix}
R^1R^2C & H \\
OH
\end{bmatrix}$$
TMSCN
$$\begin{bmatrix}
R^1 & C \\
R^2 & C
\end{bmatrix}$$
60

Scheme 25

In biomimetic studies of ptilomycalin A, Murphy and co-workers used a double Michael addition of guanidine to enone 62.⁵¹ The resulting pyrrolidine was subsequently cyclized to generate the spirooxepanes 63. However, yields for this process were only 20%.

During studies directed toward the synthesis of the diterpenoids methylshikoccin and methylepoxyshikoccin, Paquette's group observed the formation of the diastereomeric oxepane-acetals 65 from the oxo-acetal 64.⁵² Presumably, these arise from deprotection of the acetal upon treatment with HCl.

III.3 Intramolecular cyclization by etherification of hydroxy olefins

Although haloetherification has been investigated intensively for the preparation of furans and pyrans, the preparation of oxepanes via this method has only recently been shown to be reliable. For example, reactions that were successful in the preparation of furans and pyrans produced oxepanes in yields from 0 to 30%. Rousseau's group has investigated this divergence in the formation of oxepanes and has shown that the use of bis(collidine) iodine hexafluorophosphate produces oxepanes in good to excellent yields (Scheme 26).⁵³ Yields for the formation of oxepanes ranged from 65 to 95%, although diastereomeric ratios were only 1:1. Examples of oxepane formations were also accomplished in yields of 18 to 70%.

OH
$$\frac{(\text{collidine})_2 \text{I}^+ \text{PF}_6^-}{\text{CH}_2 \text{Cl}_2}$$
Scheme 26

An interesting modification of this concept was reported by Taguchi's group (Scheme 27).⁵⁴ During studies on the iodoetherification of pentenyl ether derivatives, they observed that the incorporation of a terminal hydroxy group such as in **66** generated the oxepane **67** in 41% yield. The proposed mechanism involves the *N*-iodosuccinimide (NIS) generation of the oxonium furan intermediate **66a**. Loss of the furan moiety produces the p-methoxybenzyl cation, which undergoes cyclization.

Other methods used in the cyclization of hydroxy olefins include lead tetraacetate-mediated, photochemically-initiated, and acid catalyzed procedures (Scheme 28). In the reaction of **68**, stoichiometric lead tetraacetate produced mixtures of seven- and eight-membered rings; the isolated yields of the oxepanes ranged from 35 to 44% (R = H and Me).⁵⁵ The formation of non-oxepane ring sizes can be avoided by the use of a silicon-directed addition of the hydroxy group; however, conversion of **69** produced the desired product in

only 17% yield.⁵⁶ Finally, photochemical cyclization of **70** has been accomplished in moderate yield with the use of **9,10-**anthracenedicarbonitrile.⁵⁷

PhMe₂Si OH
$$\frac{Pb(OAc)_4}{35-44\%}$$
 AcOCH₂ OR $\frac{17\%}{17\%}$ PhMe₂Si OH $\frac{hv}{60\%}$ Ph₂CH ON $\frac{hv}{60\%}$ Scheme 28

III.4 Intramolecular cyclization of diols and hydroxy halides

There are numerous reports on the formation of cyclic ethers via cyclization of diols. Often these are applied to medicinal or natural product synthesis. Typically these dehydrocyclizations are accomplished by converting a single hydroxy group into a tosylate or triflate group followed by spontaneous cyclization. For example, in studies of the formation and conversion of allysilanes, diol 71 was converted to oxepane 72 via a monotosylate.⁵⁸ For the synthesis of (+)-zoapatanol, Trost and co-workers converted 73 into the triflate, which spontaneously cyclized to the oxepane 74 in 74% yield.⁴³ Finally, using cyanomethylenetributylphosphorane (CMBP) in a Mitsunobu reaction, Tsunoda and co-workers obtained a 58% yield of 75.⁵⁹ Use of the traditional DEAD-triphenylphosphine reagent produced no oxepane.

HO

OH

TMS

TSCI, PV

$$52\%$$

OTMS

71

AcO

OAC

 $(CF_3SO_2)_2O$
 $2,6$ -lutidine

 CH_2CI_2
 74%

OH

 $CMBP$
 OH
 OH

Cyclizations of 6-chloro alcohols have also been used in the synthesis of analogs of the protein kinase C inhibitor balanol (Scheme 29).⁶⁰ Deprotonation of the chloro alcohol **76** in boiling toluene gave oxepane **77** in 57% yield. Further transformations of the protected amine and ether groups gave balanol analogs.

IV. Formation and Conversion of Lactones

$$HO \longrightarrow O \longrightarrow O \longrightarrow R$$

The conversion of caprolactone derivatives into oxepanes and oxepenes has played an important role in the synthesis and application of oxepane-containing natural products. This section will present methods for the lactonization of hydroxy acids and the oxidation of cyclohexanones to caprolactone derivatives. The final section will involve the latest strategies for converting these lactones into substituted oxepenes.

The formation and subsequent functionalization of lactones provide substituted oxepenes, typically in high yields. This type of method has been employed extensively in the synthesis of natural products. Several representative examples will be presented.

IV.1 Intramolecular lactonization of hydroxy acids

One of the well-known lactonizations of hydroxy acids, developed by Yamaguchi, involves conversion of the acid to the acid chloride using trichlorobenzoyl chloride followed by cyclization with DMAP.⁶¹ Numerous uses of this procedure have been reported in the synthesis of natural products. For example, in the synthesis of brevetoxin B, Nicolaou and co-workers used this procedure for the cyclization of hydroxy acid 77 to lactone 78 in 90% yield (Scheme 30).⁶² Murai's group has also applied this methodology in the partial synthesis of ciguatoxin, where lactonization of 79 to 80 was accomplished in 81% yield.⁶³

HO₂C
$$\xrightarrow{\text{Me}}$$
 $\xrightarrow{\text{Me}}$ $\xrightarrow{\text{OBn}}$ $\xrightarrow{\text{1. Cl}_3C_6H_2COCl}}$ $\xrightarrow{\text{2. DMAP}}$ $\xrightarrow{\text{78}}$ $\xrightarrow{\text{78}}$ $\xrightarrow{\text{79}}$ $\xrightarrow{\text{1. Cl}_3C_6H_2COCl}}$ $\xrightarrow{\text{Me}}$ $\xrightarrow{\text{Me}}$

Scheme 30

Other methods for the lactonization of hydroxy acids include the use of catalytic scandium triflate developed by Yamamoto and co-workers (Scheme 31).⁶⁴ Activation of 81 by Sc(OTf)₃ and two equivalents of *p*-nitrobenzoic anhydride yields lactone 82 in 99% yield. Alternatively, Fleming and co-workers used Mukaiyama's reagent, chloro-*N*-methylpyridinium iodide, to convert derivatives of 83 to 84 in yields greater than 80%.⁶⁵ Finally, an optional method for forming medium-sized lactones involves catalytic RhCl(PPh₃)₃ and bisdimethylsilylbenzenes.⁶⁶ Using a bisdimethylsilylbenzene as a bridging agent, 85 was converted to lactone 86 in 35% yield.

There are also numerous examples of the lactonization of hydroxy esters and hydroxy amides. For example, prolonged treatment of **87** with SnCl₄ in dichloromethane resulted in formation of lactone **88** in 65% yield.⁶⁷ Alternatively, **89** was converted to the caprolactone **90** in 70% yield using toluenesulfonic acid.⁶⁸ Thomas's group also used an acid catalyzed lactonization in studies for the synthesis of the lankacidins **91**.⁶⁹

Scheme 31

IV.2 Baeyer-Villiger oxidation of cyclohexanones

The well known Baeyer-Villiger oxidation of cyclohexanones using m-CPBA as the oxidant is a common method for the formation of caprolactones (Scheme 32). This oxidation has been optimized with the use of additives such as sodium and potassium bicarbonates, or catalytic hydrotalcite. Yields of greater than 90% have been attained using these procedures. Additionally, silicon-directed m-CPBA oxidations have also been developed and used, producing regioselectivities greater than 99:1 in 80-99% yields. Resolution of these racemic caprolactones has also been investigated using pig liver esterase. The yield of the optically active lactones ranged from 30 to 35% with ee's of 60 to 98%, where R was an alkyl group ranging from methyl to octyl.

Alternative reagents for *m*-CPBA oxidations that have been applied to cyclohexanone derivatives are shown in Table 1. Most permit the use of water-free conditions and, in the case of entries 5, 6 and 7, asymmetric versions have been developed. In addition, the enzymatic oxidations have produced ee's greater than 98%.

Table 1. Baeyer-Villiger oxidation of cyclohexanones

Entry	Reagent	Yields	Reference
1	magnesium monoperphthalate hexahydrate (MMPP)	55-97%	74
2	Cyclohexaneperoxycarboxylic acid (CHPCA)	89-96%	75
3	CF ₃ CO ₃ H	81-98%	76
4	TMSOOTMS/catalyst	61-91%	77
5	O ₂ , PhCHO, catalyst	40-100%	78
6	H ₂ O ₂ / catalyst	45-92%	79
7	Enzyme	20-86%	80

IV.3 Conversion of lactones to oxepenes

The conversion of lactones to substituted oxepanes via their triflate enolates has been well developed. Recently, Murai and co-workers applied this to the synthesis of the G ring in ciguatoxin.⁸¹ Formation of 92 was achieved using a m-CPBA oxidation from the corresponding ketone in 85% yield. Treatment of 92 with base and PhNTf₂ followed by cuprate coupling produced oxepene 93 [R = (CH₂)₃OEE]. A 60% overall yield from 92 to 93 was achieved.

Nicolaou and co-workers improved on this procedure by introducing a ketene acetal phosphate (Scheme 33).⁸² Several phosphates were generated at -78 °C in 80-92% yields that were stable at ambient temperatures and toward silica gel chromatography. Stille coupling with vinylstannane produced **94** in 58-97% yields. This method is also applicable to a wide variety of ring sizes.

Scheme 33

A complementary method was developed by Rychnovsky and Dahanukar that utilized the acetylated lactol **96** (Scheme 34).⁸³ Reduction of lactone **95** with DIBALH,⁸⁴ followed by *in situ* acetylation of the unstable lactol, produces **96** in 65% yield. Lactol **96** was then coupled with carbon nucleophiles via the intermediate oxonium ion producing **97** with 16:1 trans selectivity for the allyl and alkyne products. Additional studies using unsaturated oxepenes were also reported.

Reformatsky-type reactions are also useful in the synthesis of functionalized oxepanes. Using a SmI_2 mediated reaction, Hanessian and Girard generated lactols 98 in 75 and 65% yields with R = H and Me, respectively. 85

Finally, conversion to the selenoester has been accomplished in 85% yield by reacting the trimethylsilyl ketene acetal with hydrogen selenide (Scheme 35).⁸⁶ The chemistry of selenocarbonyl compounds has been developed extensively during the past few years, this intermediate could prove useful in subsequent conversions.⁸⁷

V. Ring Expansions

$$\bigcap_{\mathsf{OR}} \longrightarrow \bigcap_{\mathsf{OR}}$$

A relatively new and exciting method for the formation of oxepanes and oxepenes involves the ringexpansion of smaller rings. These methods have proven to be highly efficient and their applications to the synthesis of natural products have already proven successful. Some advantages of these transformations are optically active starting materials, simultaneous formation of multiple oxepane rings and formation of oxepanes with multiple functional groups.

V.1 Ring expansions of cyclopropanes

The formation of medium-sized rings from the ring expansion of cyclopropanes is a well-developed method. However, the application of this process to the formation of oxepanes and oxepenes has been limited until lately. In view of this our group recently attempted to transform cyclopropanes into oxepenes. Our strategy was based on the well-known Ferrier rearrangement of glycals (Scheme 36).⁸⁸ These reactions proceed via a Lewis acid-induced removal of the allylic group to form oxonium ion I, followed by nucleophilic attack at the anomeric center. In view of the similarity of cyclopropane rings to olefinic bonds,⁸⁹ we speculated that upon treatment with a Lewis acid and nucleophile the cyclopropanated sugar 99 would undergo ring opening and provide ring-expanded oxepenes.⁹⁰

Scheme 36

Ring expansion using cyclopropane 100 (R = H) provided oxepenes 101 in good to excellent yields with a diverse range of nucleophiles (Scheme 37).⁹¹ Generally, yields ranged from 82 to 93% using silylated nucleophiles such as N₃, SPh, CN and allyl. Although syn stereochemistry for the nucleophilic attack was obtained, the selectivity was only 2:1. The poor selectivity was thought to be due to a planar geometry in the intermediate oxonium ion. Molecular modeling studies of the intermediate oxonium ion supported this assumption.

Formation of ester-substituted cyclopropanes ($R = CO_2Et$), followed by attempted ring-expansion, did not produce the desired oxepenes.⁹² This was attributed to a deactivation of the cyclopropane by the ester group.

Nagarajan and co-workers have also reported the synthesis of oxepenes from dibromo cyclopropanated sugars via a solvolytic ring expansion (Scheme 38).⁹³ Initial attempts at solvolysis of the dibromocyclopropanes with silver or Lewis acid catalysis produced only decomposition at high temperatures. At room temperature the substrates remained inactive. However, in the presence of K₂CO₃ and boiling methanol, anomeric mixtures of oxepenes were obtained in 55 to 67% yields.

$$R^{1}$$
 R^{2}
 R^{3}
 R^{2}
 R^{3}
 R^{1}
 R^{2}
 R^{3}
 R^{3}
 R^{3}

Scheme 38

Using similar cyclopropanated sugars, Gurjar and co-workers observed radical ring expansion.⁹⁴ Treatment of 102 with Bu₃SnH and AIBN in boiling toluene produced a 2:3 mixture of 103 and 104. Separation by preparative tlc gave 103 in 37% yield.

V.2 Ring expansions of four- and five-membered rings

Several interesting methods for the ring expansion of four- and five-membered rings have recently been reported. An intriguing method for converting oxetanes to oxepanes was described by Masaki and coworkers (Scheme 39).⁹⁵ The conversion of 105 involves the transposition of the ether side chain during the ring opening of the oxetane to produce medium-sized cyclic ethers such as 106. In a single example of oxepane formation, the Lewis acid-promoted conversion of the oxetane was achieved in 49% yield under mild conditions. Using crossover experiments, the reaction appears to involve an intra- and intermolecular rearrangement via an oxonium ion intermediate.

Scheme 39

In studies directed toward the synthesis of ciguatoxin, Hirama and co-workers reported the ring expansion of the bicyclic system 107.96 Formation of 107 was achieved photochemically, then ring-expanded by ozonolysis and reduction to provide the diketooxepane 108 in modest yield. Further functionalization of 108 produced dihydroxyoxepanes and dihydroxyoxepenes, both of which are useful precursors in natural product synthesis.

An additional method for an intramolecular transposition using pyrans was reported by Oku's group.⁹⁷ Expanding on their previous work, the present study generated oxonium ions by treating 109 with silver(I) oxide in the presence of trifluoroacetic acid (Scheme 40). Attack of the intermediate oxonium ion by trifluoroacetic acid can occur at three locations - a, b or c. Preference for attack at carbon a is postulated to be due to a release of steric strain, because the vicinal hydrogen atoms on the furan ring lie in a nearly eclipsed conformation. Thus, elongating or reducing the side chain in 109 modifies the steric strain and causes disappearance of 110.

V.3 Ring expansions of six-membered rings

Several methods for transforming tetrahydropyrans into oxepanes have recently been reported. Nakata and co-workers have developed an efficient method for this conversion using a rearrangement ring expansion strategy and have applied it to the total and partial synthesis of marine natural products. For the rearrangement of 111, the use of a leaving group positioned on the side chain of the anomeric carbon is used (Scheme 41).⁹⁸ Treatment with a metal salt in an acid/water medium produces 112 in good to excellent yields. Conditions that have been investigated involve the use of bromo and mesylate leaving groups and the use of various zinc and silver metal salts. Zinc acetate and a mesylate leaving group in boiling acid/water have proven to be the most effective combination, producing yields from 82 to 95%.

The application of this method to the synthesis of hemibrevetoxin B and maitotoxin has also been reported. In the synthesis of hemibrevetoxin B, a double rearrangement ring expansion was used to construct both the C and D rings (Scheme 42).⁹⁹ Expansion of bicyclic ether **113** followed by hydrolysis gave **114** in

60% yield. In this reaction, formation of the bis-chloromethanesulfonate produced significantly higher yields than the bis-mesylates.

In attempts to modify their method for a more facile synthesis of larger polycyclic ethers, an olefinic side chain was incorporated (Scheme 43). 100 Because epoxidation of the olefin is performed in subsequent steps, the incorporation of the olefin provides a more useful functional group in ensuing reactions. Additionally, complexation of the acetoxyl group with the $Zn(OAc)_2$ in Scheme 42 was thought to impede the reaction. Therefore, substrates with an olefinic moiety on the side chain should produce a more facile expansion. Using 115 as a substrate, the formation of oxepanes 116 was achieved as a mixture of alcohols and acetates at the R^2 position $R^2 = H$, Ac). These were produced stereoselectively in excellent yields using milder temperatures (50 °C) and shorter reaction times (1-3 h).

Me Me Me No Me Representation
$$R^1$$
 Representation R^1 Representation

Another strategy for converting pyrans into oxepanes was developed by Mori and co-workers. Their strategy involves the direct insertion of a methylene unit into a pyranone ring. Generally, diazomethane has been used as the methylene unit; however, problems such as low reactivity, oxirane formation or multiple

insertions result. To avoid these problems, trimethylsilyldiazomethane was used as the methylene unit. Treatment of 117 with trimethylsilyldiazomethane in the presence of a Lewis acid and acid hydrolysis resulted in conversion to the oxepanone 118 in good yields (Scheme 44). Vields as high as 76% were obtained with the use of BF3-OEt2. Additional Lewis acids that were surveyed included Et2AlCl and Me3Al. The presence of the TMS group also serves to direct the diazo group toward the formation of the less crowded α -trimethylsilyl ketone intermediate. Additionally, the formation of the silylenol ether prevents multiple homologations.

In synthetic studies toward zoapatanol, Pain, Desmaele and d'Angelo used a similar ring enlargement of a pyranone (Scheme 45). 102 Ring expansions of 119 by addition of dibromocarbene (CHBr₃/tBuOK) produce oxepenones 120 in 66% and 65% yields. Further conversions of 120 were accomplished using a catalytic reduction, producing 121 in 56% yield. Deconjugative reduction led to enone 122 in 66% yield.

Scheme 44

TMSO 1. CHBr₃
$$\frac{tBuOK}{2. H^+}$$
 $\frac{tBuOK}{2. H^+}$ $\frac{tBuOK}{R}$ $\frac{tAuC}{R}$ $\frac{tAuC}{R}$

Scheme 45

Ring expansion of cyclohexenes in the formation of oxepane peroxides has also been achieved (Scheme 46).¹⁰³ Reaction of 123 with singlet oxygen followed by acid treatment with Amberlyst-15 produced 124 in 40% yield. Further conversions led to analogs of the naturally occurring antimalarial agent artemisinin.

Scheme 46

V.4 Ring expansions of bicyclic rings

A final area of ring expansions involves the selective cleavage of 6,9-dioxabicyclo[3.2.1]octane systems with Lewis acids (path B in Scheme 47). Utaka's group initially reported this transformation, and recently others have developed new approaches for the optimization of path B.¹⁰⁴

The selectivity of the acetal cleavage appears to depend on the type of Lewis acid and the use of chelation control. For example, ring expansion of acetal 125 with TiCl₄ produces oxepane 126 in 98% yield with a selectivity of 99:1 in favor of the oxepane to pyran (Scheme 48). However, if SnCl₄ is used as the Lewis acid a 0:100 selectivity is observed. Furthermore, if the methoxy moiety is absent, oxepane formation is interrupted, suggesting that titanium chelation between O-8 and the methoxy oxygen is occurring. The fact that the tin chloride does not form the oxepane is perhaps due to the difference in Lewis strengths. However, if SnCl₄ is used as the Lewis acid and the use of chelation control.

Scheme 48

Jun and Lee have also reported the regioselective bond cleavage of similar acetals (Scheme 49).¹⁰⁷ Their initial efforts centered on regioselective cleavage of bicyclic acetals without the carbonyl moiety; however, all methods resulted in exclusive formation of pyrans similar to 127. In contrast, use of the lactone 128 gave excellent stereoselectivity with the use of AlCl₃ as the Lewis acid. Treatment with sodium borohydride as the hydride source and 30 equivalents of the Lewis acid produced 129 in 94% and 91% yields as the only products (R = Me and H, respectively). Use of BF₃•Et₂O gave 93% and 92% yields of 129 as the only products (R = Me and H, respectively). A possible explanation for this observation is the decreased electron density at O-6; thus, the AlCl₃ with its vacant d-orbital would prefer the more electron-rich O-8.

Finally, an example that uses a phenylthio group as the nucleophile has been reported by Kusumoto and co-workers (Scheme 50).¹⁰⁸ Ring expansion of 130 in 1,2-dichloroethane produced the thioglycoside oxepane 131 in 63% yield. The use of dichloromethane, however, led to the formation of the pyran derivative.

VI. Metal-Promoted Cyclization

The metal-promoted cyclization of unsaturated ethers, intramolecular insertion of carbenes into C-H bonds, cyclization through alkynyl cobalt complexes, and other organometallic-assisted cyclizations offer another new and exciting method for the formation of oxepanes and oxepenes. During the past few years these methods have attracted considerable attention and have been shown to be highly efficient. Advantages of these transformations are the use of catalytic organometallics, simultaneous formation of multiple oxepane rings, excellent tolerance to a variety of functionalities, and tolerance to atmospheric oxygen.

VI.1 Ring-closing metathesis and metal-catalyzed cyclization

Ring-closing metathesis has rapidly become an important method for the formation of oxygen heterocycles (Scheme 51). Since the original reports by Grubbs and co-workers, numerous accounts have appeared on its use, development, and efficiency. Specific applications to the synthesis of oxepenes and the application in natural products have also appeared. Although initial reports focused on the use of vinylalkylidene complexes, recent reports have been on the use of Grubbs' catalyst 132, which is commercially available. 110

This general method for building oxepenes has been used by several groups (Scheme 52). Hirama and co-workers synthesized the *trans*-fused 6-7-6 tricyclic ether 134 from 133 in excellent yields. 111 Crimmins and Choy have reported the metathesis of asymmetric diene 135, 112 while Van Boom and co-workers have reported on the formation of derivatives of the oxepene 137 from asymmetric dienes. 113 The formation of 136 and 137 demonstrates that incorporating the two stereogenic centers in acyclic systems provides sufficient conformational bias to facilitate ring closure. Previous ring closures of medium- to large rings exhibited the need to incorporate conformational constraints to avoid dimers and oligomers. Finally, Martin's group has further demonstrated the facile nature of this reaction by preparing derivatives of 138 in excellent yields. 114

Although these results demonstrate the synthetic utility of the ruthenium complex, its application to the ring closure of enol ethers of type 140 has proven to be ineffective (Scheme 53). Clark and Kettle therefore used the molybdenum catalyst 139.¹¹⁵ Yields of the disubstituted- and trisubstituted oxepenes 141 ranged from 42 to 94%.

Another variation of this metathesis method has been reported by Nicolaou and co-workers (Scheme 54).¹¹⁶ The general concept involves transforming the olefinic ester **142** to the intermediate enol ester with Tebbe's reagent, followed by ring closure to produce **143**. Successful conversions were accomplished using 3-6 equivalents of the Tebbe reagent, resulting in yields from 30 to 45%.

Although palladium-catalyzed reactions have found wide applications in the synthesis of complex organic molecules, successful extension of these reactions to oxepane and oxepene synthesis has only recently been developed. The palladium-catalyzed C-O bond formation using aryl halide 144 has recently been reported by Buchwald's group (Scheme 55).¹¹⁷ Mechanistically, this reaction most likely proceeds via the intermediate palladacycle, which upon deprotonation and reductive elimination yields the oxacycle 145 in 64% yield. Attempts to use secondary alcohols resulted in oxidation of the alcohol to the ketone.

Negishi also developed a palladium-catalyzed method using allenyl aryl halides (Scheme 56).¹¹⁸ The cyclization proved to be highly stereoselective, producing only the (E)-oxepene 146 in greater than 97% selectivity and 61% yield. Furthermore, in cases were regioisomers could be obtained, excellent selectivity for the formation of oxepenes over pyrans were observed.

The cyclization of diazo alcohols via rhodium catalysis has been extensively developed by Moody and co-workers. Recently, Lee and co-workers elaborated on this work with the use of silyloxy directing groups. Treatment of the diazo derivatives 147 produced an 80 and 82% yield of the cyclic ethers 148 with 1:1 and 4:1 ratios of isomers (R = TBDMS and TIPS, respectively; (Scheme 57). However, if a benzyl group is used as the R group, the expected furan ring is formed. Thus, the electronic effect of the silicon atom apparently overrides the usual conformational preference for formation of a five-membered ring.

Additional isolated cyclizations using transition metals that resulted in seven-membered oxacycles have also been reported. Hidai's group used a mixed Pd-Mo cluster for the lactonization of heptynoic acid, resulting in the formation of an enol lactone in 28% yield. Hosomi's group 122 and Okamura's group 123 have both reported on the cyclization of enynes using a zirconium-based system and palladium-catalyzed method, respectively. In each case low to moderate yields were observed in the formation of substituted oxepenes.

VI.2 Cyclization with stoichiometric metal complexes

Several highly efficient and mild cyclizations using metal complexes have also been reported. A lactone synthesis using a chromium carbene complex was recently developed by the Mori group (Scheme 58).¹²⁴ The reaction is believed to proceed through the vinyl carbene complex 149a, which undergoes CO insertion and reaction with the hydroxyl group at the ketene moiety. Decomplexation and hydrolysis of complex 149b provides lactone 150.

Cobalt complexes have also been developed in oxepane and oxepene synthesis by both the Isobe and Martin groups (Scheme 59). In this strategy, a suitable hydroxy-substituted propargyl system is complexed with cobalt and then cyclized via a Nicholas-type cation.¹²⁵ The acetylene is decomplexed by either a reductive method to afford an olefinic moiety or an oxidative method to reform the alkynyl group.

In Isobe's work, pyran 151 was complexed with cobalt, ring opened, and deacetylated in an overall 72% yield (Scheme 60). 126 Cyclization followed by decomplexation with Wilkinson's catalyst afforded 152 in 51% yield.

In work by Martin and co-workers, complexation of 153 with Co₂(CO)₈, followed by deprotection and cyclization, gave oxepanes of type 154 in 71-78% yield for the cyclization step (Scheme 61).¹²⁷ Oxidative treatment with CAN provides acetylene 155 in near quantitative yields.

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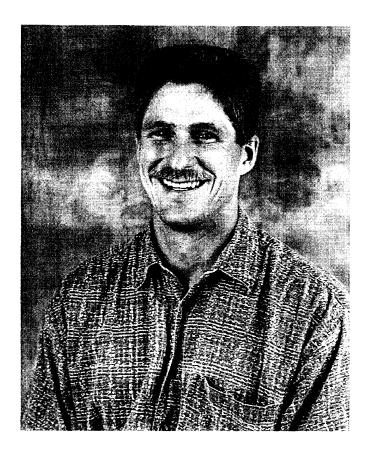
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Biographical sketch



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